

# Maximum Nullity for Benzenoids

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# Computers in Scientific Discovery

1. Sage (or SageMath)
2. benzene (Brinkmann & Caporossi, 2007) for fast, complete generation of benzenoids
3. Conjecturing (L. & Van Cleemput, 2016)

# Ghent Combinatorial Structure Generation



Version 10.8 Reference Manual

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## benzene: Generate fusenes and benzenoids with a given number of faces



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### Description

Benzene is a program for the efficient generation of all nonisomorphic fusenes and benzenoids with a given number of faces. Fusenes are planar polycyclic hydrocarbons with all bounded faces hexagons. Benzenoids are fusenes that are subgraphs of the hexagonal lattice.

### License

Benzene is licensed under the GNU General Public License v2 or later (June 2007)

### Upstream Contact

Benzene was written by Gunnar Brinkmann and Gilles Caporossi. This version was adapted by Gunnar Brinkmann and Nico Van Cleemput for Grinvin.

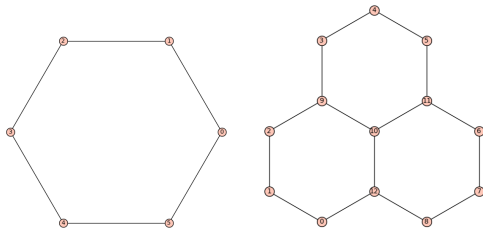
<http://www.grinvin.org/>

# Ghent Combinatorial Structure Generation



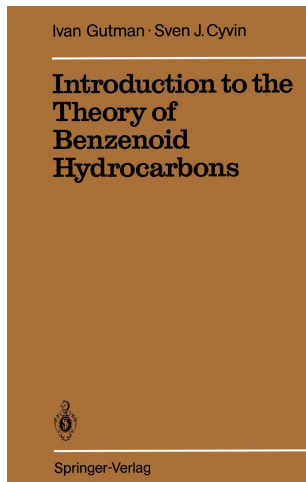
# Patrick's Question

Which benzenoids with  $h$  hexagonal faces have maximum nullity  $\eta$ ?



**Benzene** has  $\eta = 0$ , **Phenylene** has  $\eta = 1$ .

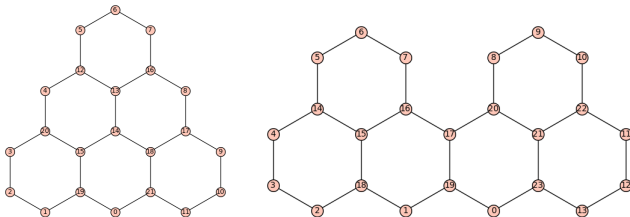
# Gutman & Cyvin, 1989



# Facts about Benzenoids

1. Bounded region of the hexagonal lattice.
2. Bipartite.
3. Pairing theorem implies that if  $\lambda$  is an eigenvalue then  $-\lambda$  is an eigenvalue.
4. Any with odd order have a 0 eigenvalue ( $\eta > 0$ ).
5. König-Egerváry Theorem implies independence number  $\alpha$  plus the matching number  $\mu$  equals the order  $n$  ( $\alpha + \mu = n$ ).

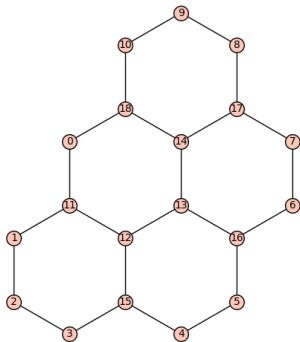
# Even-order Benzenoids can have $\eta > 0$



Triangulene with odd order and  $\eta = 1$ ,

Two fused phenalenes with even order and  $\eta = 2$ .

# Computer Search for more Examples

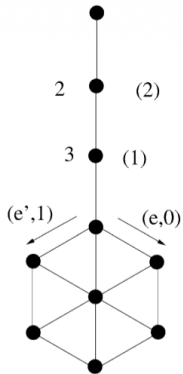


$$n = 18, h = 5, \eta = 1.$$

# Computer Search for more Examples

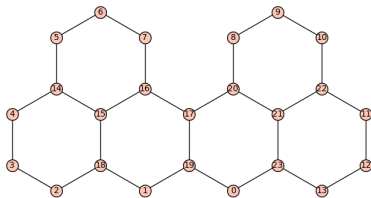
Table 1

| Hexagons | Fusenes                | Benzenoids          | Inner duals       | Inner duals<br>with trivial group |
|----------|------------------------|---------------------|-------------------|-----------------------------------|
| 1        | 1                      | 1                   | 1                 | 1                                 |
| 2        | 1                      | 1                   | 1                 | 0                                 |
| 3        | 3                      | 3                   | 2                 | 0                                 |
| 4        | 7                      | 7                   | 4                 | 0                                 |
| 5        | 22                     | 22                  | 8                 | 0                                 |
| 6        | 82                     | 81                  | 21                | 5                                 |
| 7        | 339                    | 331                 | 53                | 22                                |
| 8        | 1505                   | 1435                | 151               | 90                                |
| 9        | 7036                   | 6505                | 458               | 342                               |
| 10       | 33 836                 | 30 086              | 1477              | 1 247                             |
| 11       | 166 246                | 141 229             | 4 918             | 4 491                             |
| 12       | 829 987                | 669 584             | 16 956            | 16 095                            |
| 13       | 4 197 273              | 3 198 256           | 59 494            | 57 906                            |
| 14       | 21 456 444             | 15 367 577          | 212 364           | 209 170                           |
| 15       | 110 716 585            | 74 207 910          | 766 753           | 760 830                           |
| 16       | 576 027 737            | 359 863 778         | 2 796 876         | 2 784 913                         |
| 17       | 3 018 986 040          | 1 751 594 643       | 10 284 793        | 10 262 649                        |
| 18       | 15 927 330 105         | 8 553 649 747       | 38 096 072        | 38 051 063                        |
| 19       | 84 530 870 455         | 41 892 642 772      | 141 998 218       | 141 914 613                       |
| 20       | 451 069 339 063        | 205 714 411 986     | 532 301 941       | 532 131 882                       |
| 21       | 2 418 927 725 532      | 1 012 565 172 403   | 2 005 638 293     | 2 005 320 952                     |
| 22       | 13 030 938 290 472     | 4 994 807 695 197   | 7 592 441 954     | 7 591 794 561                     |
| 23       | 70 492 771 581 350     | 24 687 124 900 540  | 28 865 031 086    | 28 863 820 538                    |
| 24       | 382 816 374 644 336    | 122 238 208 783 203 | 110 174 528 925   | 110 172 051 829                   |
| 25       | 2 086 362 209 298 079  |                     | 422 064 799 013   | 422 060 152 511                   |
| 26       | 11 408 580 755 666 756 |                     | 1 622 379 252 093 | 1 622 369 728 951                 |



# A Conjecture

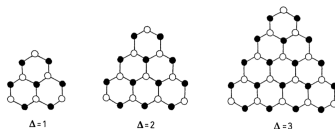
Patrick's **idea**: edge fusions of phenalenes and triangulenes might maximize nullity for a given number of hexagons.



What can we **prove**?

# A Formula for Nullity

Fajtlowicz, John and Sachs (2005) proved that  $\eta = \alpha - \mu$ , where  $\alpha$  is the independence number and  $\mu$  is the matching number.

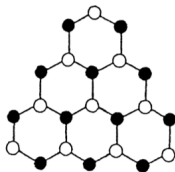


The black vertices are a **maximum independent set**.

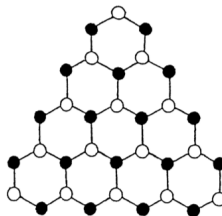
# Color Excess



$$\Delta=1$$



$$\Delta=2$$



$$\Delta=3$$

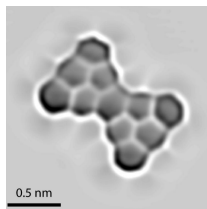
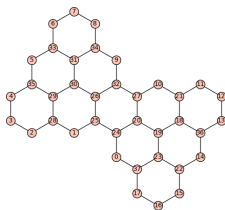
Let the partite sets be  $B$  and  $W$  (assume  $|B| \geq |W|$ ). The **color excess** is  $\Delta = |B| - |W|$ .

# Color Excess

Let the partite sets be  $B$  and  $W$  (assume  $|B| \geq |W|$ ). The **color excess** is  $\Delta = |B| - |W|$ .

If  $|B| > |W|$  there can't be a perfect matching. The König-Egerváry Theorem implies  $\alpha > \mu$  and  $\alpha - \mu > 0$ .

So,  **$\Delta$  is related to nullity  $\eta$**  ( $\Delta > 0$  implies  $\eta > 0$ ).



(Fasel, Empa, 2019). But some benzenoids have  $\Delta = 0$  and  $\eta = \alpha - \mu > 0$ .

## Color Excess

Brunvoll, Cyvin, and Gutman (1988) proved that  $\Delta \leq \lfloor \frac{h}{3} \rfloor$ , where  $h$  is the number of hexagons.

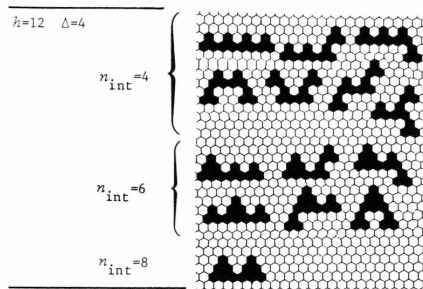


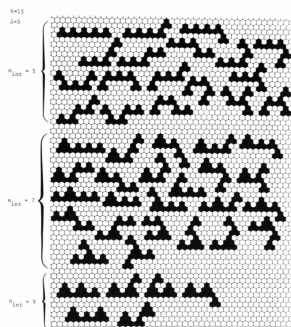
Fig. 8. Benzenoid systems with  $\Delta = \Delta_{\text{max}}$  for  $h = 12$ , classified according to their numbers of internal vertices ( $n_{\text{int}}$ ).

They define the class of **TP benzenoids**.

And prove for these graphs  $\Delta = \lfloor \frac{h}{3} \rfloor$ .

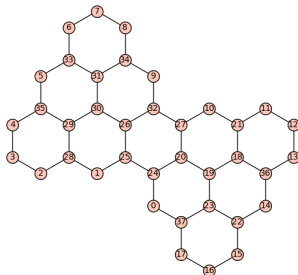
# Color Excess

Brunvoll, Cyvin, and Gutman proved that  $\Delta \leq \lfloor \frac{h}{3} \rfloor$ , where  $h$  is the number of hexagons.



Patrick's intuition was that **these should be the nullity-maximizing benzenoids**. Computational experiments confirmed this.

# Color Excess vs. Nullity



But  $\Delta \neq \eta$  in general: this example has  $\Delta = 0$ , and  $\eta = 2$ .

So what can be said?

## Color Excess vs. Nullity

For any bipartite graph with bipartition  $(B, W)$  ( $|B| \geq |W|$ ), we have

$$\alpha \geq |B| \geq |W| \geq \mu.$$

So,

$$\eta = \alpha - \mu \geq |B| - |W| = \Delta.$$

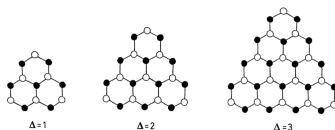
# Color Excess vs. Nullity

If we can prove,

$$\Delta \leq \eta = \alpha - \mu \leq \lfloor \frac{h}{3} \rfloor.$$

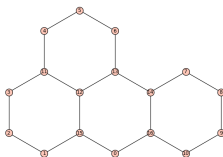
for any benzenoid, then we'd have the class of TP graphs are in fact nullity-maximizing.

# Nullity of TP Benzenoids



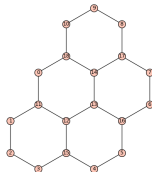
1. The triangulenes  $T_k$  (including phenalene and triangulene) have unique maximum independent sets and can be fused to maintain this property (Pepper, 2008).
2. Let  $TP_3$  and  $TP_6$  be  $TP$  benzenoids with 3 or 6 hexagons. These have unique maximum independent sets and  $\alpha - \mu = \frac{h}{3}$ .
3. Let  $TP_{3k}$  be the  $TP$  benzenoids which are 2-2 edge fusions of  $TP_{3r}$  and  $TP_{3s}$  ( $3r + 3s = 3k$ ). These have unique maximum independent sets and  $\alpha - \mu = \frac{h}{3}$ .

# Nullity of TP-1 Benzenoids



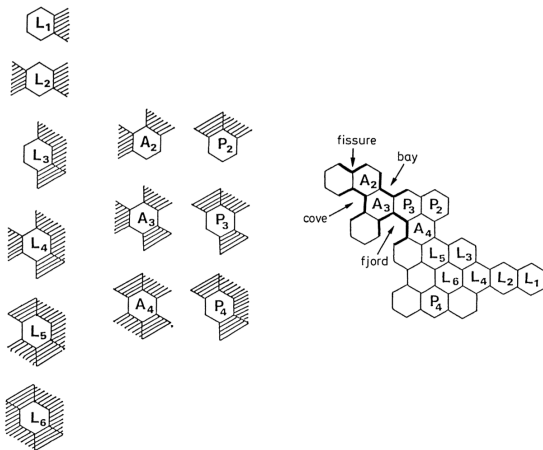
1. A **TP-1 benzenoid**  $G$  is a benzenoid formed by the 2-2 edge fusion of benzene  $H$  to a TP benzenoid  $G - H$  (in the inner dual this will be a pendant vertex).
2. These have unique independent sets.
3.  $\eta = \alpha - \mu$  remains unchanged.
4.  $\alpha(G) - \mu(G) = \alpha(G - H) - \mu(G - H) = \frac{h(G-H)}{3} = \lfloor \frac{h(G)}{3} \rfloor$ .

# Nullity of TP-2 Benzenoids



1. A **TP-2 benzenoid**  $G$  is a benzenoid formed by the 2-2 edge fusion of benzene  $H$  to a TP-1 benzenoid  $G - H$  (with 4 new degree-2 vertices) or a fusion of benzene into a bay region (with 2 new degree-2 vertices).
2. These have unique independent sets.
3.  $\eta = \alpha - \mu$  remains unchanged.
4.  $\alpha(G) - \mu(G) = \alpha(G - H) - \mu(G - H) = \lfloor \frac{h(G-H)}{3} \rfloor = \lfloor \frac{h(G)}{3} \rfloor$ .

# Proof of the Nullity Bound



**Fig. 3.2.** The twelve possible modes of hexagons in benzenoid systems and the notation proposed for them. Definition of some structural features of the perimeter

# Proof of the Nullity Bound

1. Assume  $\alpha - \mu \leq \frac{h}{3}$  for benzenoids with fewer than  $h$  hexagons, and let  $G$  be a benzenoid with  $h$  hexagons.
2. If  $G$  is a 2-2 edge fusion (has a cut edge in its inner dual) with sub-benzenoids  $B_1$  and  $B_2$ ,

$$\alpha(B_1) - \mu(B_1) \leq \frac{h(B_1)}{3} \text{ and}$$

$$\alpha(B_2) - \mu(B_2) \leq \frac{h(B_2)}{3} \text{ implies}$$

$$\alpha(G) - \mu(G) \leq \frac{h(G)}{3}.$$

# Proof of the Nullity Bound

3. If  $G$  has only degree-2 and degree-4 vertices in its inner dual then  $G$  is in the triangulene family and  $\alpha(G) - \mu(G) \leq \frac{h(G)}{3}$ .
4. If  $G$  has a degree-3 vertex in its inner dual then there is a hexagon  $H$  with 2 unique degree-2 vertices. By assumption,

$$\alpha(G - H) - \mu(G - H) \leq \frac{h(G - H)}{3}.$$

As  $H$  adds no more than a single vertex to any maximum independent set and adds a single edge to every maximum matching, we have

$$\alpha(G) - \mu(G) \leq \alpha(G - H) - \mu(G - H) \leq \frac{h(G - H)}{3} \leq \frac{h(G)}{3}.$$

# Characterization of Benzenoids with Maximum Nullity

**Conjecture:** A benzenoid  $G$  has maximum nullity among benzenoids with  $h$  hexagons if and only if  $G$  is TP, TP-1, or TP-2.

**Proved:** If  $G$  is TP, TP-1, or TP-2 then nullity is maximized (and equals  $\lfloor \frac{h}{3} \rfloor$ ).

# Characterization of Benzenoids with Maximum Nullity

1. Small benzenoids with  $\alpha - \mu = \frac{h}{3}$  are TP.
2. Assume this is true for benzenoids with fewer than  $h$  hexagons.
3. Let  $G$  be a benzenoid with  $h$  hexagons, and  $\alpha(G) - \mu(G) = \frac{h(G)}{3}$ .
4. The inner dual cannot have a pendant vertex.
5.  $G$  cannot have a degree-3 vertex in the inner dual.
6. (Case: no cut edges in the inner dual). Then  $G$  has only degree-2 and degree-4 vertices in the inner dual and is in the triangulene class. So its in phenalene or triangulene.
7. (Case: there is a cut edge in the inner dual).  $G$  is two benzenoids  $B_1$  and  $B_2$  fused at a 2-2 edge. It can be shown that it must be that  $\alpha(B_1) - \mu(B_1) = \frac{h(B_1)}{3}$  and  $\alpha(B_2) - \mu(B_2) = \frac{h(B_2)}{3}$  with a *proper* fusion.
8. The inductive hypothesis implies  $B_1$  and  $B_2$  are TP and then  $G$  is TP.

# Characterization of Benzenoids with Maximum Nullity

**Theorem:** A benzenoid  $G$  has maximum nullity among benzenoids with  $h = 3k$  hexagons if and only if  $G$  is TP,

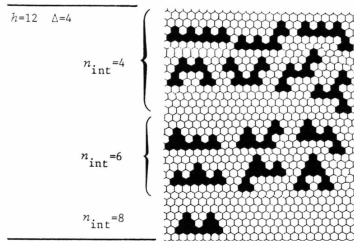


Fig. 8. Benzenoid systems with  $\Delta = \Delta_{\max}$  for  $h = 12$ , classified according to their numbers of internal vertices ( $n_{int}$ ).

# Conjectures

```
: load("benzenoids.sage")

max_nullity_graphs = [phenalene, phenalene_plus1, max_nullity5A, max_nullity5B, max_nullity5C, max_nullity6A, max_nu

benzenoids = [naphthalene, anthracene, phenanthrene, phenalene, flying_saucer, benz_5_face_ce, benzene, throwi
twisted_strip2, sunflower, bug_eye, snowflake, heptacene, antlers, L12, L13, L14, twisted_strip3, throwing_cha
snake_25, snake_29, circulene_7, excess_4, circulene_9, circulene_8, circulene_15, circulene_16, circulene_17,
big_throwing_star, dancer, dancer_CE, dancer_CE_two, dancer_CE_three, dancer_CE_four, twisted_strip4, pentahex
throwing_star_CE, octahexagons, throwing_star_8, jaggedy, bone]

objects = max_nullity_graphs + [benzene] + benzenoids

#invariants = [nullity, independence_number, matching_number, Graph.order, Graph.size]
invariants = [nullity, matching_number, Graph.order, Graph.size, degree_2_vertices, degree_3_vertices, hexagons
number_of_3_3_edges, number_of_2_3_edges, internal_vertices, external_vertices, ratio_of_internal_to_external,
number_of_internal_3_3_edges, number_of_external_3_3_edges, smaller_bipartite_set, larger_bipartite_set, match
Graph.diameter, fissures, bays, coves, fjords, faces_with_1_external_edge, faces_with_2_external_edges, faces_
faces_with_4_external_edges, faces_with_5_external_edges, faces_with_0_external_edges, branching_number]
|
invariant = invariants.index(nullity)

conj = conjecture(objects, invariants, invariant, upperBound = False)

▼ for conj in conj:
    print(conj)
```

: benzenoid embedder loaded  
conjecturing loaded!!  
nullity(x) >= color\_excess(x)

# Conjectures

```
load("benzenoids.sage")

max_nullity_graphs = [phenalene, phenalene_plus1, max_nullity5A, max_nullity5B, max_nullity5C, max_nullity6A, max_nul

benzenoids = [clars_goblet, naphthalene, anthracene, phenanthrene, phenalene, flying_saucer, benz_5_face_ce, ben
twisted_strip, twisted_strip2, sunflower, bug_eye, snowflake, heptacene, antlers, L12, L13, L14, twisted_strip3
tree_13_bays, snake_25, snake_29, circulene_7, excess_4, circulene_9, circulene_8, circulene_15, circulene_16,
big_throwing_star, dancer, dancer_CE, dancer_CE_two, dancer_CE_three, dancer_CE_four, twisted_strip4, pentahe
throwing_star_CE, octahexagons, throwing_star_8, jaggedy, bone]

objects = max_nullity_graphs + [benzene] + benzenoids

#invariants = [nullity, independence_number, matching_number, Graph.order, Graph.size]
invariants = [nullity, matching_number, Graph.order, Graph.size, degree_2_vertices, degree_3_vertices, hexagons,
number_of_3_3_edges, number_of_2_3_edges, internal_vertices, external_vertices, ratio_of_internal_to_external,
number_of_internal_3_3_edges, number_of_external_3_3_edges, smaller_bipartite_set, larger_bipartite_set, matchi
Graph.diameter, fissures, bays, coves, fjords, faces_with_1_external_edge, faces_with_2_external_edges, faces_w
faces_with_4_external_edges, faces_with_5_external_edges, faces_with_0_external_edges, branching_number]

invariant = invariants.index(nullity)

conjs = conjecture(objects, invariants, invariant, upperBound = True)

▼ for conj in conjs:
    print(conj)

benzenoid embedder loaded
conjecturing loaded!!
nullity(x) <= internal_vertices(x)
nullity(x) <= maximum(color_excess(x), tan(degree_3_vertices(x)))
nullity(x) <= degree_3_vertices(x)
nullity(x) <= color_excess(x) + number_of_external_3_3_edges(x)
nullity(x) <= maximum(color_excess(x), tan(number_of_2_3_edges(x)))
```

Thank You!

Automated Conjecturing in Sage:

<http://nvcleemp.github.io/conjecturing/>

clarson@vcu.edu